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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Certification under 37 CFR §1.10 (if applicable)

EL 889 534 592 US Express Mail Label Number December 10, 2001 Date of Deposit

hereby certify that this Transmittal Letter, enclosed application and any other documents Greferred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR \$1.10 on the date above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lynnea B. Anderson (Print Name of Person Mailing Application) unneat gnature of Person Mailing

# Transmittal of Continuation Patent Application for Filing Under 37 CFR §1.53(b)

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

Transmitted herewith for filing is a patent application by inventors: Francis J. Martin and Samuel Zalipsky, entitled:

### THERAPEUTIC LIPOSOME COMPOSITION AND METHOD OF PREPARATION

### Enclosed are:

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- One stamped, self-addressed postcard for PTO datestamp
- Certificate of Express Mail  $\boxtimes$
- $\boxtimes$ One utility patent application containing text pages 1-69 and
  - 15 Sheets of drawings  $\boxtimes$
- A Preliminary Amendment.

### Amendment

Please enter the enclosed Preliminary Amendment before calculating the M filing fee. It is understood that only amendments reducing the number of claims will be entered for this purpose.

Please cancel original claims 1-28, inclusive, before calculating the filing fee.

#### 3. Extension of Time

Conditional Petition for Extension of Time: An Extension of Time is requested to provide for timely filing <u>if</u> required to establish copendency with the parent after all papers filed herewith have been considered.

#### U.S. Priority 4.

This application is a continuation of U.S. Application No. 09/876,707 filed June 7, 2001, now pending; which is a division of U.S. Application No. 09/517,224 filed March 2, 2000, now U.S. Patent No. 6,316,024; which is a division of U.S. Application No. 09/138,480 filed August 21, 1998, now U.S. Patent No. 6,056,973; which is a continuation-in-part of U.S. Application No. 08/949,046 filed October 10, 1997, now U.S. Patent No. 5,891,468; which claims the priority of U.S. Provisional Application No. 60/028,269, filed October 11, 1996, now abandoned, which are all incorporated herein by reference.

#### 5. Foreign Priority

- Priority of Application No. filed in on is claimed under 35 USC \$119.
- A certified copy of this priority document is enclosed.



- This application and parent application Serial No. 08/949,046 filed October 10, 1997 are assigned of record to Alza Corporation, by virtue of its acquisition of Sequus Pharmaceuticals, Inc. and by virtue of Assignments to Sequus Pharmaceuticals, Inc., as recorded in the U.S. Patent and Trademark Office at Reel 9008 and Frame 0824 on February 9, 1998; Reel 8856 and Frame 0669 on October 19, 1997.
- Power of Attorney in the above-identified prior application is to <u>Judy M. Mohr</u>, Reg. No. <u>38,563</u>, and the other attorneys or agents identified therein.

# 7. Entity Status

- □ Large entity status applies to this application.
- ☐ Applicant claims small entity status. See 37 CFR §1.27.

## 8. Fees

The filing fee has been calculated taking into account any amendments in section 2 above:

	(Col. 1) (Col. 2) No. No.		Small Entity			Other Than a Sr	mall Entity
For:	Filed	Extra	Rate	Fee		Rate	Fee
Basic Fee				\$370.00	or		\$ 740.00
Total Claims	31 - 20	11	x \$ 9 =	\$	or	11 x \$ 18 =	\$ 198.00
Independent Claims	1 - 3	0	x \$42 =	\$	or	0 x \$ 84 =	\$ 00.00
☐ Multiple Dependent Claim Presented			+ \$140 =	\$	or	+ \$280 =	\$ 280.00
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$	or	TOTAL	\$1218.00

Please charge the filing fee due to Deposit Account No. 50-0665. The Commissioner is hereby authorized to charge any deficiency in fees under 37 CFR 1.16 and 1.17 to Deposit Account 50-0665.

Respectfully submitted,

Date: <u>December 10,2001</u>

Jacqueline F. Mahoney Registration No. 48,390

alguline & Mahoney

### Correspondence Address:

Customer No. 22918 Phone: 650 838-4410 Attorney Docket No. 55325-8148.US06

Patent

# **Transmittal of Continuation Patent Application for Filing**

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Lynnea B. Anderson
Name of Person Mailing Application

Signature of Person Mailing Application